1. Introduction

The standard ISO 17025:20017 [1] prescribes the measurement uncertainty evaluation during tests and calibrations. To accomplish this task, [1] recommends using the methods described in the Guide to the Expression of Uncertainty in Measurement (GUM) [2]. However, the expanded uncertainty estimates obtained using the GUM methods do not depend on the probability density functions (PDF) of the input quantities. This shortcoming of the GUM is eliminated in its Supplement 1 [3] based on the Monte Carlo method (MCM). However, it is known that the measurement uncertainty estimates obtained using [2] and [3] do not match even for the linear model equations and the Gaussian PDF of all input quantities. Therefore, when evaluating the measurement uncertainty, it is advisable to rely on methods that lead to results that are compatible with the results obtained using the MCM. Such approaches are described in [4] and in the Recommendation [5] by the NSC “Institute of Metrology”. Unfortunately, in both publications, there are no examples of using the proposed approaches to measuring angular values. Filling this gap, this paper discusses the application of the kurtosis method and the law of propagation of the expanded uncertainty when calibrating the goniometer.

2. Basic theoretical relations

Goniometers are used to accurately measure angles and are widely used in optical laboratories. Using a goniometer, the refractive indices and refractive angles of prisms and crystals are determined, the parameters of diffraction gratings are studied, the wavelengths of spectral lines are measured, etc.

When calibrating the goniometer, the given angle of the reference multifaceted prism \( \alpha_s \) is repeatedly...
measured. The measurement scheme in this case corresponds to the scheme “Direct measurement by a calibrated measuring device of a value reproduced by a reference measure” [6] and is shown in Fig. 1.

In this case, the bias of the value of the angle $\alpha_s$, which is measured by the calibrated goniometer from the actual angle $\alpha_r$, reproduced by the reference measure, can be written in the form of a model [6]:

$$\Delta = (\alpha_s + \Delta_s) - (\alpha_r + \Delta_r),$$  \hspace{1cm} (1)

where $\Delta_s$ is the correction for the resolution of the goniometer reading; $\Delta_r$ is the correction for the error in the basing of the reference measure.

Since the average values of both corrections $\hat{\Delta}_s$ and $\hat{\Delta}_r$ are equal to zero, the calibration result is taken as the estimate

$$\hat{\Delta} = \bar{\alpha}_s - \bar{\alpha}_r,$$  \hspace{1cm} (2)

where $\bar{\alpha}_s$ is the prism angle value taken from the calibration certificate; $\bar{\alpha}_r$ is the arithmetic mean of the results of $n$-fold measurements of the polyhedral prism angle:

$$\bar{\alpha}_r = \frac{1}{n} \sum_{q=1}^{n} \alpha_{r_q}.$$  \hspace{1cm} (3)

The standard uncertainty of the measurand $u(\hat{\Delta})$ is found by the formula:

$$u(\hat{\Delta}) = \sqrt{u^2(\bar{\alpha}_s) + u^2(\hat{\Delta}_s) + u^2(\hat{\Delta}_r) + u^2_s(\Delta_r)},$$  \hspace{1cm} (4)

where $u(\bar{\alpha}_s)$ is the standard uncertainty of the scattering of the goniometer readings, which is equal to:

$$u(\bar{\alpha}_s) = \frac{s(\alpha_s)}{\sqrt{n}},$$  \hspace{1cm} (5.1)

in the case of using the methods in [2] and

$$u(\bar{\alpha}_s) = \frac{s(\alpha_s)}{\sqrt[n]{n-1} \sqrt{n-3}},$$  \hspace{1cm} (5.2)

in the case of using the methods in [4, 5], the standard deviation of the random variability of individual readings of the goniometer $s(\alpha_s)$ is found by the formula:

$$s(\alpha_s) = \sqrt{\frac{1}{n-1} \sum_{q=1}^{n} (\alpha_{s_q} - \bar{\alpha}_s)^2};$$  \hspace{1cm} (6)

$u_s(\Delta_r)$ is the standard uncertainty of type $B$ due to the resolution $d$ of the goniometer reading:

$$u_s(\Delta_r) = \frac{d}{2\sqrt{3}};$$  \hspace{1cm} (7)

$u(\hat{\Delta}_r)$ is the standard uncertainty of the reproduction of an angle by the reference prism, which is expressed in terms of the expanded instrumental uncertainty $U_r$ of the prism at the calibration point assuming a Gaussian PDF (coverage factor $k_s =2$ for the confidence level $p=0.9545$) according to the formula:

$$u(\hat{\Delta}_r) = \frac{U_r}{k_s};$$  \hspace{1cm} (8)

$u(\hat{\Delta}_r)$ is the standard uncertainty of type $B$ of the correction for the error in the basing of the reference measure:

$$u(\hat{\Delta}_r) = \frac{\theta_s}{\sqrt{3}},$$  \hspace{1cm} (9)

where $\pm \theta_s$ are the limits of the error in the basing of the reference measure.

3. Calculation of the expanded uncertainty

According to [4, 5], the expanded uncertainty can be calculated in two ways: by the kurtosis method and using the law of the propagation of the expanded uncertainty.

The kurtosis method [4, 5] involves calculating the expanded uncertainty according to the formula:

$$U(\Delta) = k(\eta)u(\hat{\Delta}),$$  \hspace{1cm} (10)

where $k(\eta)$ is the coverage factor, which depends on the kurtosis $\eta$ of the measurand PDF.

For the confidence level of 0.9545 [4, 5]:

$$k(\eta) = \begin{cases} 0.12 \cdot \eta^3 + 0.1 \cdot \eta + 2.0, & \text{for } \eta \leq 0; \\ t_{0.9545/(6/\eta)+4} \cdot \frac{3+\eta}{\sqrt{3+2\eta}}, & \text{for } \eta > 0, \end{cases}$$  \hspace{1cm} (11)

where $t_{0.9545/(6/\eta)+4}$ is the Student’s coefficient for the probability of 0.9545 and the number of degrees of freedom $v = (6/\eta)+4$.

The kurtosis of the measurand is calculated by the formula:

$$\eta = \frac{\bar{\eta}(\alpha_s) \cdot u^4(\hat{\Delta})}{u(\hat{\Delta})} = \frac{\bar{\eta}(\Delta_r) \cdot u^4(\hat{\Delta}_r) + \bar{\eta}(\alpha_s) \cdot u^4(\hat{\Delta}^c_s) + \bar{\eta}^3(\alpha_s) \cdot u(\hat{\Delta}_r) \cdot u(\hat{\Delta}^c_s)},$$  \hspace{1cm} (12)

in which the kurtosis of the input quantities are taken in accordance with their distribution laws and are equal, respectively, $\eta(\bar{\alpha}_s) = -1.2$; $\eta(\Delta_r) = -1.2$; and the standard uncertainty of the measurand is calculated by the formula:

$$u(\hat{\Delta}) = \sqrt{u^2(\bar{\alpha}_s) + u^2(\hat{\Delta}_s) + u^2(\hat{\Delta}_r) + u^2_s(\Delta_r)}. $$  \hspace{1cm} (13)

The uncertainty budget for this case is given in Table 1.
Accounting for the distributions of input quantities in the procedure for the measurement uncertainty evaluation...

The law of the propagation of the expanded uncertainty [4, 5] involves a separate calculation of the expanded uncertainty for non-random \( U_B \) and random \( U_A \) input quantities, followed by their combination according to the formula:

\[
U = \sqrt{U_B^2 + U_A^2}.
\]

(14)

The expanded uncertainty \( U_B \) is calculated by the kurtosis method according to the formula:

\[
U_B = k(\eta_B) u_B(\Delta);
\]

(15)

where the coverage factor \( k(\eta_B) \) is calculated by formula (11) for \( \eta \leq 0 \), and the kurtosis \( \eta_B \) is calculated as

\[
\eta_B = \eta(\Delta) - \text{cov}(\Delta)_B + \eta(\alpha) \text{cov}(\alpha)_B + \eta(\Delta) - \text{cov}(\Delta)_A.
\]

(16)

where \( u_B(\Delta) \) is type B standard uncertainty of the measurand:

\[
u_B(\Delta) = \sqrt{u^2(\Delta) + u^2(\alpha) + u^2(\Delta)}.
\]

(17)

The uncertainty budget for type B components is given in Table 2.

The expanded uncertainty \( U_A \) is calculated by the formula

\[
U_A = t_{0.9545,(n-1)} \frac{s(\alpha)}{\sqrt{n}},
\]

(18)

where \( s(\alpha) \) is calculated by formula (6), and \( t_{0.9545,(n-1)} \) is the Student’s coefficient for the probability of 0.9545 and the number of degrees of freedom \( n–1 \).

After calculating the combined expanded uncertainty \( U \) according to formula (14), the combined standard uncertainty of the measurand \( u(\Delta) \) is determined according to formula (4), followed by the calculation of the coverage factor according to the formula:

\[
k = \frac{U}{u(\Delta)}.
\]

(19)

4. Example

Calibrating a digital static goniometer СГ-1Ц using a 24-sided reference prism. The nominal value of the measure is 30°. The actual value 30° 00' 01.15'' is determined with the expanded uncertainty of 0.3''.

The results of 10 measurements of this angle using a goniometer are shown in Table 3.

### Table 1

<table>
<thead>
<tr>
<th>( X_i )</th>
<th>( x_i )</th>
<th>( u(x_i) )</th>
<th>( \eta_i )</th>
<th>( c_i )</th>
<th>( u_i(y) )</th>
</tr>
</thead>
<tbody>
<tr>
<td>( \alpha_c )</td>
<td>( \bar{\alpha}_c ), (3)</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>( \Delta_c )</td>
<td>0</td>
<td>( u(\hat{\Delta}_c) ), (7)</td>
<td>( \eta(\Delta_c) )</td>
<td>1</td>
<td>( u(\Delta_c) )</td>
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<tr>
<td>( \alpha_s )</td>
<td>( \hat{\alpha}_s )</td>
<td>( u(\hat{\alpha}_s) ), (8)</td>
<td>( \eta(\alpha_s) )</td>
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<td>( -u(\alpha_s) )</td>
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<tr>
<td>( \Delta_s )</td>
<td>0</td>
<td>( u(\hat{\Delta}_s) ), (9)</td>
<td>( \eta(\Delta_s) )</td>
<td>–1</td>
<td>( -u(\hat{\Delta}_s) )</td>
</tr>
<tr>
<td>( Y )</td>
<td>( y )</td>
<td>( u(y) )</td>
<td>( \eta )</td>
<td>( k(\eta) )</td>
<td>( U_B(\Delta) )</td>
</tr>
<tr>
<td>( \Delta )</td>
<td>(2)</td>
<td>(17)</td>
<td>(16)</td>
<td>(11)</td>
<td>(15)</td>
</tr>
</tbody>
</table>

### Table 2

The measurement uncertainty budget for type B components
The arithmetic mean value of the measurement results is \( \alpha_c = 29° 59' 55.14'' \), the standard deviation of individual measurements is \( s(\alpha_c) = 0.4274'' \).

The goniometer resolution, \( d \), is 0.1''. The boundary of the error in the basing of the prism is \( \theta_s = 0.1'' \).

The measurement uncertainty budget using the kurtosis method is given in Table 4.

The measurement uncertainty budget for type \( B \) components using the law of the propagation of the expanded uncertainty is given in Table 5.

The expanded uncertainty \( U_A \) calculated by formula (18) is equal to:

\[
U_A = 2.3198 \frac{0.4274''}{\sqrt{10}} = 0.3135''.
\]

The combined expanded uncertainty \( U \) calculated by formula (14) will be equal to:

\[
U = \sqrt{(0.3263'')^2 + (0.3135'')^2} = 0.4525''.
\]

The combined standard uncertainty \( u(\Delta) \) of the measurand determined by formula (4) will be equal to:

\[
u(\Delta) = \left[ \left(0.153''\right)^2 + (0.0289'')^2 + \left(0.15''\right)^2 + (0.0577'')^2 \right]^{\frac{1}{2}} = 0.2239'',
\]

and the coverage factor determined by formula (19) will be equal to:

\[
k = \frac{0.4525''}{0.2239''} = 2.02.
\]

The measurement uncertainty evaluation for this example based on the NIST Uncertainty Machine web software application [7] resulted as follows:

- numerical value: -6.01'';
- standard uncertainty: 0.224'';
- 99% coverage interval: (-6.603'', -5.417'');
- 95% coverage interval: (-6.45'', -5.57'');
- 90% coverage interval: (-6.376'', -5.644'').

For the given coverage intervals the method of the least squares in the range of confidence levels

\[
\begin{array}{|c|c|c|c|c|c|}
\hline
X_i & x_i & u(x_i) & \eta_i & c_i & u_i(y) \\
\hline \alpha_c & 29° 59' 55.14'' & 0.153'' & 1.2 & 1 & 0.153'' \\
\Delta_c & 0 & 0.0289'' & -1.2 & 1 & 0.0289'' \\
\alpha_s & 30° 00' 01.15'' & 0.15'' & 0 & 1 & -0.15'' \\
\Delta_s & 0 & 0.0577'' & -1.2 & -1 & -0.0577'' \\
y & y & u(y) & \eta & k(\eta) & U(\Delta) \\
\hline \Delta & -6.01'' & 0.2239'' & 0.258 & 2.019 & 0.452'' \\
\hline
\end{array}
\]
Accounting for the distributions of input quantities in the procedure for the measurement uncertainty evaluation...

It should be noted that when the expanded uncertainty evaluation according to the GUM method [2], the standard uncertainty \( u(\bar{\Delta}) \) calculated by formula (5.1) will be equal to 0.135", therefore the standard uncertainty of the measurand \( u(\Delta) \) is 0.212", and the expanded uncertainty for the confidence level of 0.9545 and the coverage factor of 2 will be equal to 0.424", which is 7% less than the expanded uncertainty calculated using the MCM.

Урахування розподілів вхідних величин у процедурі оцінки невизначеності вимірювання при калібруванні ґоніометра

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Анотація
Розглянуто розбіжності в оцінках невизначеності вимірювань у Настанові з подання невизначеності вимірювань та її Додатку 1. Показано, що можливими шляхами подолання цих суперечностей є застосування методу ексцесів та закону поширення розширеної невизначеності. На прикладі калібрування ґоніометра показано особливості урахування законів розподілу вхідних величин у процедурі оцінювання невизначеності вимірювань.

Ключові слова: ґоніометр; калібрування; невизначеність вимірювань; бюджет невизначеності; метод ексцесів; закон поширення розширеної невизначеності; метод Монте-Карло.

References
7. The NIST Uncertainty Machine. Available at: https://uncertainty.nist.gov/